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UTILITY					
PATENT APPLICATION					
TRANSMITTAL					

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UTILITY	Attorney Docket No.	00067.01R	
	First Inventor	Ron L. HALE	
TRANSMITTAL	Title	DRUG CONDENSATION AEROSOLS	
(Only for new nonprovisional applications under 37 CFR 1 53(b))	Eynress Mail Lahel	FR 618 759 380 US	

(Only for new	nonprovisional applications under 37 CFR 1.5	(b)) Express	Mail Label		ER 618	759 380 US	
See MPEP	APPLICATION ELEMENTS chapter 600 concerning utility patent applica	ition contents.		ADDRESS TO:	Commiss P. O. Box	Patent Application ligner for Patents : 1450 la VA 22313-1450	
2. Apr Se (p) - []	pee Transmittal Form (e.g., PTO/SB/17)  submit an original, and a duplicate for fee pro pplicant claims small entity status.  pee 37 CFR 1.27.  pecification [Total Page referred arrangement set forth below]  Descriptive title of the invention  Cross Reference to Related Applicatio Statement Regarding Fed sponsored Feference to sequence listing, a table, or a computer program listing appending Background of the Invention  Brief Summary of the Invention	rs 168 / ns R & D	(if app a. b. c.	Computer Proportion and Incomputer Specification Si. CD ii. Pap	gram (App ino Acid S iny) er Readat Sequence -ROM or ( per ents verify	Sequence Submission ole Form (CRF) Listing on: CD-R (2 copies); or ing identity of above cop	
	Brief Description of the Drawings (if file	d)	AC	COMPANYI	<u>NG APP</u>	LICATION PARTS	<u>;                                    </u>
4. Di  5. Oath or D  a. D  b. D  18. If a CC specification for D  Prior a  For CONTINU under Box 5t	Detailed Description Claim(s) Abstract of the Disclosure  rawing(s) (35 U.S.C. 113) [Total Shee  Declaration [Total Page Newly executed (original or cop Copy from a prior application (3 (for continuation/divisional with Box i. DELETION OF INVENT Signed statement attached named in the prior application 1.63(d)(2) and 1.33(b).  pplication Data Sheet. See 37 CFR 1.  DISTINUING APPLICATION, check application Data Sheet.	ets 19 j es J	15 16 17 supply the required 1.76: rt (CIP) of property of the prior nying continuation	37 CFR 3.73(b) (when there is an English Transla Information Dis Statement (IDS Preliminary Am Return Receipt (Should be specification (b)(2)(B)(i). Ap or its equivalent Other:  Site information becomes a polication, frontion or divisional	o Statemer assignee) station Documer is in Pro-14 rendment Postcard fically itemized is claimed) Request plicant mut.	Imment (if applicable)  49 Copies of ID Citations  (MPEP 503)  Document(s)  under 35 U.S.C. 122  ust attach form PTO/SB.  the first sentence of the	S /35
Telefelles. Th		CORRESPO		<del></del>	an ule sub	initied application parts.	
$\boxtimes$	Customer Number:	3748	***************************************	or	Com	espondence address below	
Name	Elaine C. Stracker J.D., Ph.D.						
	V.P. Intellectual Property						
Address	Alexza Molecular Delivery Corporation	on	· <del>-</del> · · - ·			·	
	1001 E. Meadow Circle	·	<del>,</del>				
City	Palo Alto	State	CA	Zi	p Code	94303	
Country	US	Telephone	650.687.390	5	Fax	650.687.3999	
Name	(Print/Type) Elaine C. Stracker J.D., Ph.E		Regi	istration No. (Atto	mey/Agent)	43,166	1
Signati	ure Fill and	Carlo			Date	11/20/2003	フ

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including the confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including the confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including the confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including the confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including the confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including the collection is estimated to take 12 minutes to complete, including the collection is estimated to take 12 minutes to complete, including the collection is estimated to take 12 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



SUBTOTAL (3)

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Complete if Known

Application Number Not Yet Assigned

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tor FY 2003 Filing C			Date		November 20, 2003	-			
Effective 01/01/2003. Patent fees are subject to annual revisi	rision. First Named Inventor			d Inve	ntor Ron L. HALE	Ron L. HALE			
Applicant claims small entity status. See 37 CFR 1.:	.27 Examiner Name			Name	Not Yet Known				
		Art Unit			Not Yet Known				
TOTAL AMOUNT OF PAYMENT (\$) \$1,07	2.00	Attor	ney D	ocket I	No. 00067.01R				
METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)				
Check Credit card Money Other None	3. A	DDITIO	DNAL	FEES					
Deposit Account:	Large Entity   Small Entity								
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
Account Number 502731	1051		2051		Surcharge - late filing fee or oath				
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet				
Account Name Alexza Molecular Delivery Corp.	1053	130	1053	130	Non - English specification				
The Director is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination				
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
Charge any additonal fee(s) during the pendency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action				
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month				
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month				
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month				
Large Entity   Small Entity	1254	1,450	2254	725	Extension for reply within fourth month				
Fee Fee Fee Fee Description	1255	1,970	2255	985	Extension for reply within fifth month				
Code (\$) Code (\$) Fee Paid  1001 750 2001 375 Utility filing fee 385.00	1401	320	2401	160	Notice of Appeal				
1002 330 2002 165 Design filing	1402	320	2402	160	Filing a brief in support of an appeal				
1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing				
1004 750 2004 375 Reissue filing	1451	1,510	1451	1,510	Petition to institute a public use proceeding				
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable				
SUBTOTAL (1) (\$) \$385.00	1453	1,300	2453	650	Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND	1501	1,300	2501	650	Utility issue fee (or reissue)				
Fee from	1502	470	2502	235	Design issue fee				
Extra Claims below Fee Paid  Total Claims 82 -20** = 62 X 9.00 = 558.00	1503	630	2503	315	Plant issue fee				
Independent $6.3** = 3.00 = 129.00$	1460	130	1460	130	Petitions to the Commissioner				
Claims Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)				
Large Entity   Small Entity	1806	180	1806	180	Submission of Information Disclosure Statement				
Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)				
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection				
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	(37 CFR § 1.129(a)) For each additional invention to be examined				
1203 280 2203 140 Multiple dependent claim, if not paid	l				(37 CFR § 1.129(b))				
1204 84 2204 42 ** Reissue independent claims over original patent	1801		2801		Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination of a design application				
and over original patent	Ott	ner fee (	specify	)					

SUBMITTED BY			Complete (if applicable)				
Name (Print/Type)	Elaine C. Stracker J.D., Ph.D.	Registration No. (Attorney/Agent)	43,166	Telephone	(650) 687-3905		
Signature	Muni Shark	>		Date	November 20, 2003		

\*Reduced by Basic Filing Fee Paid

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SUBTOTAL (2)

or number previously paid, if greater; For Reissues, see above

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